

REPORT SUMMARY SHEET

Meeting: Date:	Trust Board Meeting – 12 th June 2019
Title:	Head of Internal Audit, (HIA), Annual Report for Year Ended 31 st March 2019
Lead Director:	Ms Helen O'Neill – Director of Finance, Procurement and Estates
Corporate Objective:	Financial Governance
Purpose:	For Information

Summary of Key Issues for Trust Board

High level context:

This report is a very top level summary of all Internal Audit activities within SHSCT during the financial year 2018/19.

There were 2 unacceptable assurances, 7 limited assurances, 3 split satisfactory/limited and 11 satisfactory.

Internal Audit also completed a high-level review of the processes established within the Trust to monitor compliance with required standards post controls assurance. They concluded that the processes adopted were adequate to provide appropriate assurance.

Follow up work – a review of the implementation of previous priority one and priority two recommendations was carried out at mid-year and again at year-end. At year-end 80% of the recommendations examined had been fully implemented, 19% partially and 1% not implemented. This is a significant improvement when compared to 2017/18.

Overall Internal Audit opinion is satisfactory – however, it is important to note that unacceptable and limited assurances have been provided in a number of areas.

Key issues/risks for discussion:

Significant improvement has been evidenced since the formation of the Trust's Internal Audit Forum it is important this Forum continues with its work plan to maintain and secure greater compliance with all recommendations in a timely manner with a particular focus on those recommendations emanating from unacceptable and limited reports.

Summary of SMT challenge/discussion:

N/A

Internal/External engagement:

Human Rights/Equality:

N/A