


COVER SHEET

Meeting and Date of meeting	<i>Trust Board</i> <i>30th January 2025</i>	
Title of paper	<i>Board Assurance Framework</i>	
Accountable Director	Name	<i>Dr Stephen Austin</i>
	Position	<i>Medical Director</i>
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This paper sits within the Trust Board role of:	Accountability	
This paper is presented for:	Approval	
Links to Trust Corporate Objectives	<input type="checkbox"/>	Unscheduled Care Transformation and Reform
	<input type="checkbox"/>	Improved Access to Services
	<input type="checkbox"/>	Focus on developing services provided in the Community
	<input type="checkbox"/>	Ensure Safe Services while delivering financial recovery
	<input checked="" type="checkbox"/>	Strengthen Financial and Governance Systems
	<input type="checkbox"/>	Digital Readiness
	<input type="checkbox"/>	Sustainability of Our Estate
	<input type="checkbox"/>	Embedding Our Co-production Approach
	<input type="checkbox"/>	Delivery of Year 3 of Our People Framework

	<p><i>The report author will complete this report cover sheet fully. The Accountable Director must satisfy themselves that the cover sheet is accurate and fully reflects the report. The expectation is that the Accountable Director has read and agreed the content (cover sheet and report).</i></p>
	<p><i>Its purpose is to provide the Trust Board/Committee with a clear summary of the report/paper being presented, how it impacts on the people we serve and the key matters for attention and the ask of the Trust Board/Committee</i></p>

1. Reason for Presentation of Paper / Report

The Board Assurance Framework (BAF) is presented to the Trust Board for approval. Its purpose is to provide a structured approach for identifying, assessing, and managing strategic risks that could hinder the achievement of the Trust's corporate objectives. The BAF consolidates key risk information, ensuring the Board has sufficient and timely assurance to support effective decision-making and maintain oversight of the Trust's risk management practices.

2. Detailed summary of paper contents:

- 1) **Strategic Risk Oversight:** The BAF identifies, assesses, and aligns risks with the Trust's strategic objectives, enabling the Board to proactively manage threats to safe, high-quality care and operational performance.
- 2) **Robust Controls and Assurance:** It outlines three types of controls (preventative, detective, corrective) and applies a "Three Lines of Assurance" model to ensure effective governance, compliance, and independent oversight.
- 3) **Gap Identification and Mitigation:** The BAF highlights gaps in risk management or controls and provides clear, actionable plans to address them, ensuring risks are mitigated and monitored in line with the Trust's governance framework. This ensures effective oversight, accountability, and proactive risk management.

3. Areas of improvement/achievement:

The Board Assurance Framework (BAF) document has now been updated to ensure that members of Trust Board have a comprehensive overview of any risks which may affect the Trust achieving its strategic objectives.

4. Areas of concern/risk/challenge:

None noted.

5. Impact on Statutory Duties: Provide details on the impact of the following and how.

<i>Financial Impact</i>	<i>Safety and Quality Impact</i>
No, there are no Financial Impacts	No, there are no Quality, Safety or Experience Impacts

6. Risk Assessment (Risk level and state if a risk assessment be completed)

The paper does not require a risk assessment.

7. Other Business Intelligence/data (If appropriate)

8. Impact: Provide details on the impact of the following and how. If this is N/A you should explain why this is an appropriate response.

Corporate Risk Register

The paper does not require a risk assessment.

Board Assurance Framework

The paper is the new/updated Board Assurance Framework.

Equality and Human Rights

There are no Equality or Human Rights impacts.